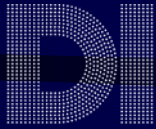


# Major transitions 2.0 and human origins and evolution

Eörs Szathmáry



DIVERSE INTELLIGENCES

Templeton World  
Charity Foundation



*Evolutionary Systems Research  
Group, MTA Center for Ecological  
Research*

*Biological Institute, Eötvös  
University, Budapest*



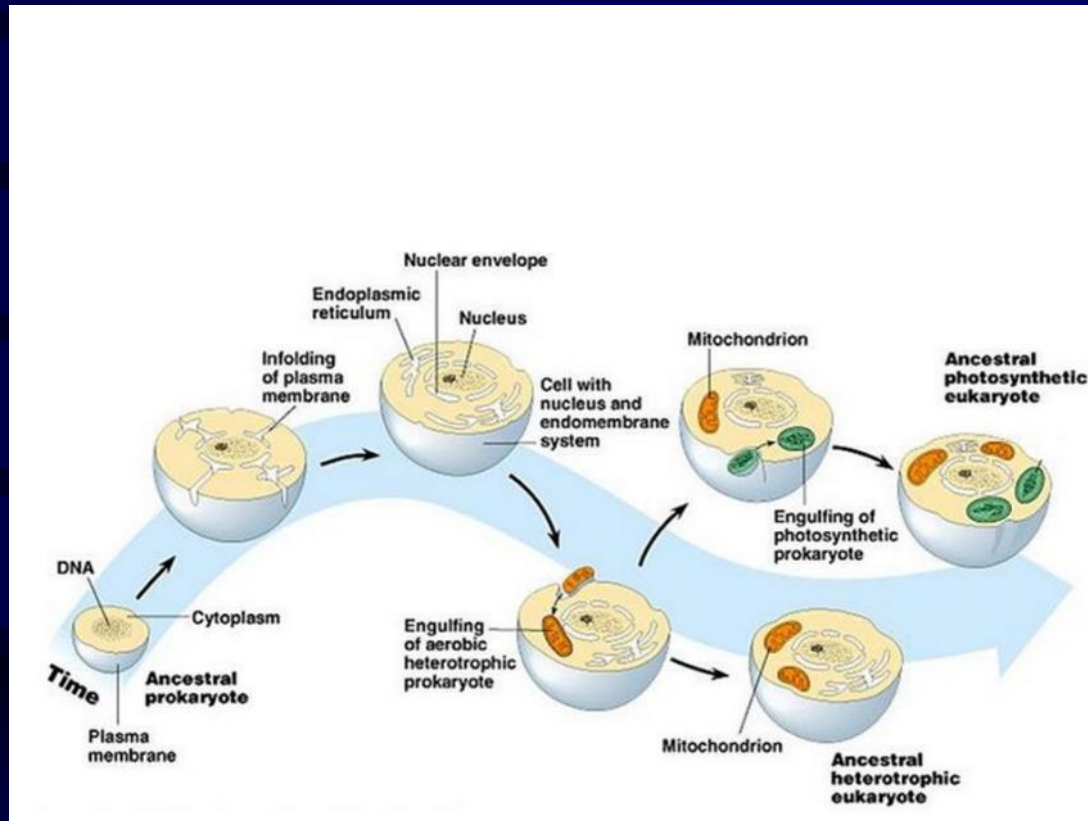
# “Two books in one”

- Formation of higher-level units from lower ones (Major Transition in Individuality: MTI)
- Evolution of the storage, use and transmission of hereditary information

# The royal chamber of a termite:fraternal



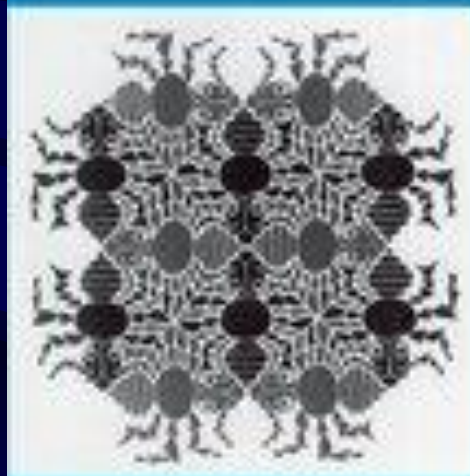
# The origin of eukaryotic cells: egalitarian



No reproductive division of labour is possible!!!

# Principles of Social Evolution

Andrew F.G. Bourke



OSEE

Oxford Series in Ecology and Evolution

# Three phases of a major transition (Bourke, 2011)

Major transition examples	Social group formation	Social group maintenance	Social group transformation
Eukaryote origins	Origin of the eukaryotic cell	Control of organellar reproduction	Gene transfer from organelle to nucleus
Clonal organisms to sexual unicells	Origin of zygotes	Control of meiotic drive	Obligate sexual reproduction
Unicells to multicellular organisms	Origin of multicellularity	Control of selfish cell lineages	Early-diverging germline

- These can easily span many million years!

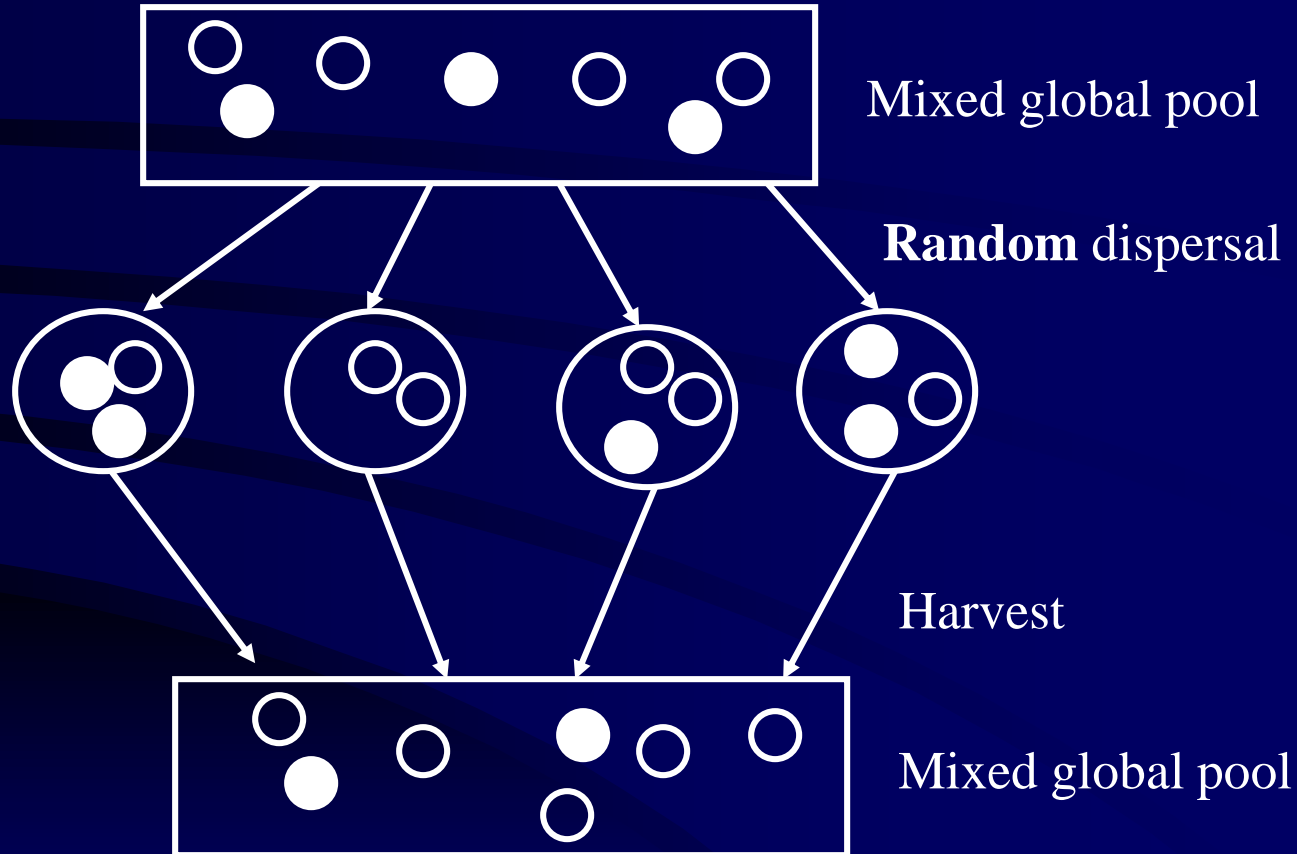
# Toward major evolutionary transitions theory 2.0

 Eörs Szathmáry<sup>1</sup>

Table 1. Revised major transitions

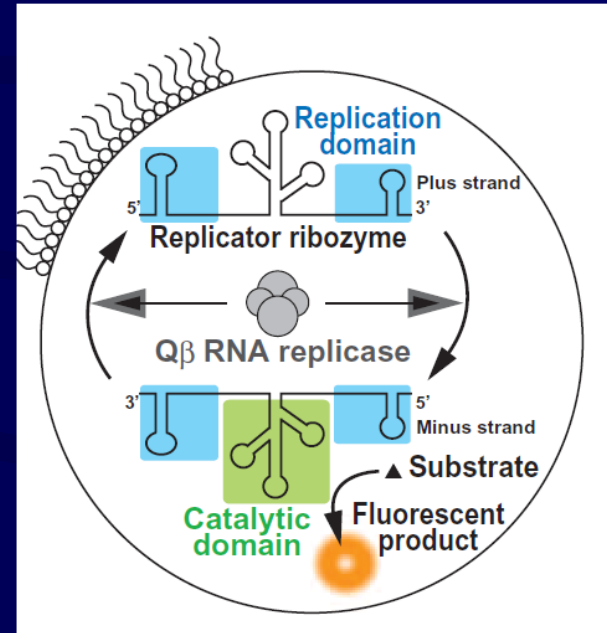
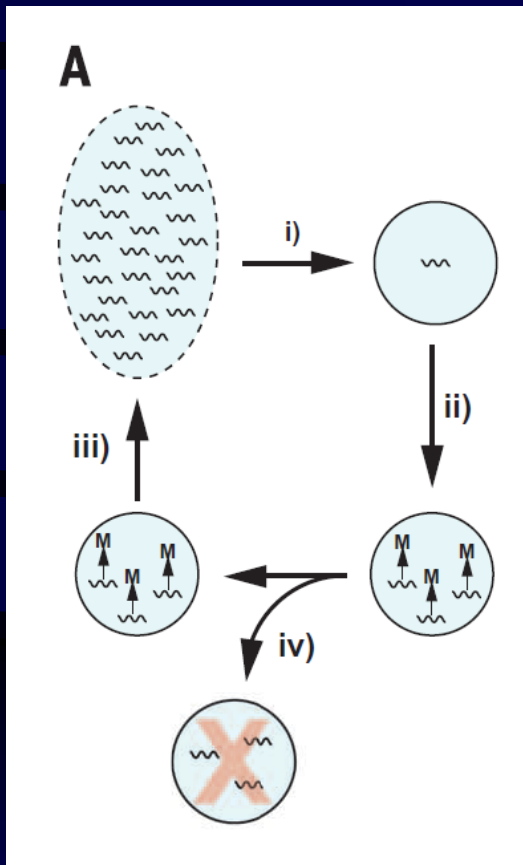
Origin of:	Formation, maintenance, transformation phases	Transition in individuality	New type of information storage, use, and transmission	Limited transitions
Protocells	<ol style="list-style-type: none"> <li>1. Autocatalytic networks on the rocks cooperate</li> <li>2. Naked genes escape into compartments</li> <li>3. Chromosomes form</li> </ol>	MLS1 on the rocks MLS2 in compartments Chromosomes as conflict mediators	Catalysts based on informational replication arise Genetic information encapsulated in cells	
Genetic code and translation: prokaryotic cells	<ol style="list-style-type: none"> <li>1. Limited coding before translation (coenzyme amino acids and peptides)</li> <li>2. Early ribosomes and primitive translation</li> <li>3. Vocabulary extension by bacterial sex</li> </ol>	Establishment of symbiotic autocatalytic molecular networks, including complementary subcodes	Symbolic as opposed to earlier iconic hereditary system (code) Coded sexuality	21st and 22nd amino acids (selenocystein and pyrrolisine) Highly polyploid bacteria
Eukaryotic cells	<ol style="list-style-type: none"> <li>1. Fusion–fission cycle (early sex)</li> <li>2. Mitochondrial symbiont (before or after phagocytosis)</li> <li>3. Nucleus, meiosis, and mitosis</li> </ol>	Different cells come and stay together as a higher level whole	Genome composed of functionally synergistic compartments Separation of transcription from translation	Within-cell soma and germ (ciliates)
Plastids	<ol style="list-style-type: none"> <li>1. Engulfment of plastids</li> <li>2. Transfer of plastid genes to nucleus</li> <li>3. Posttranslational import and regulation of division</li> </ol>	Different cells come and stay together as a higher level whole	Genome composed of functionally synergistic compartments	Tertiary plastids <i>Paulinella</i>
Multicellularity (plants, animals, fungi)	<ol style="list-style-type: none"> <li>1. Size advantage from cohesion</li> <li>2. Programmed regulation of cell division</li> <li>3. Soma and early-sequestered germ line</li> </ol>	Cohesive multicellularity allows for differentiation and division of labor	Epigenetic inheritance systems with high hereditary potential	Multicellularity in other lineages Multi-multi symbioses (e.g., lichens)
Eusocial animal societies	<ol style="list-style-type: none"> <li>1. Origin of societies</li> <li>2. Control of conflict (dominance, punishment, policing)</li> <li>3. Dimorphic reproductive and nonreproductive castes</li> </ol>	Formation of (super)organisms	Animal signaling and social learning	Uniclonial ant supercolonies
Societies with natural language	<ol style="list-style-type: none"> <li>1. Confrontational scavenging, first words</li> <li>2. Eusociality (grandmothers) and protolanguage</li> <li>3. Cultural group selection and syntax</li> </ol>	Non-kin, large-sized cooperation based on negotiated division of labor Food sharing and reproductive leveling Cultural groups	Symbolic communication with complex syntax	Animal cultures

# The trait group model (Wilson, 1975)





# A molecular rendering of the Wilson model



ORIGIN OF LIFE

**Transient compartmentalization of RNA replicators prevents extinction due to parasites**

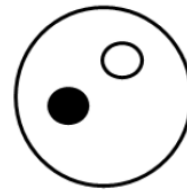
# The sums for MCRM

$$\frac{dx}{dt} = axxy - xF$$

$$\frac{dy}{dt} = byxy - yF$$

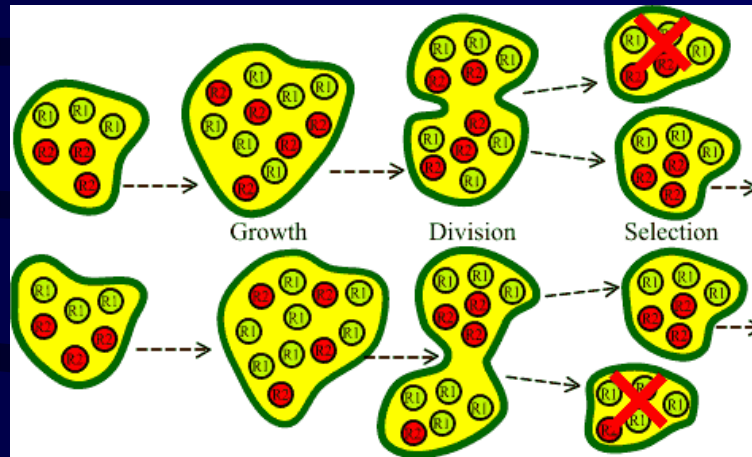
Metabolism

Hamilton does not rule



Binomial coefficient	1	2	1
Production of X	0	$a$	0
Production of Y	0	$b$	0

# Stochastic corrector model (SCM)



- Independently reassorting genes
- Selection for optimal gene composition between compartments
- Competition among genes within the same compartment
- Stochasticity in replication and fission generates variation on which natural selection acts
- A stationary compartment population emerges

# Filial transitions: „darwinisation”

- Classical transitions: emergence of new levels of evolution by terminal addition
- De-darwinisation of the lower level
- Filial transitions: emergence of new (constrained) evolutionary system by „intercalation“
- „Darwinising“ some components of the existing unit!

# When the Darwinian dynamic reinvented itself

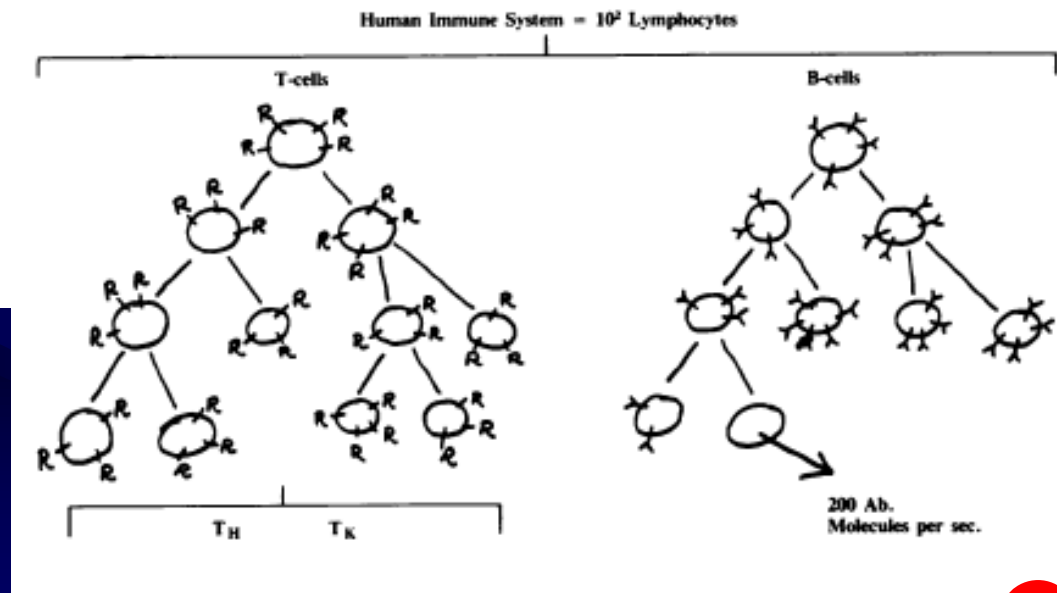


The EMBO Journal vol.4 no.4 pp.847–852, 1985

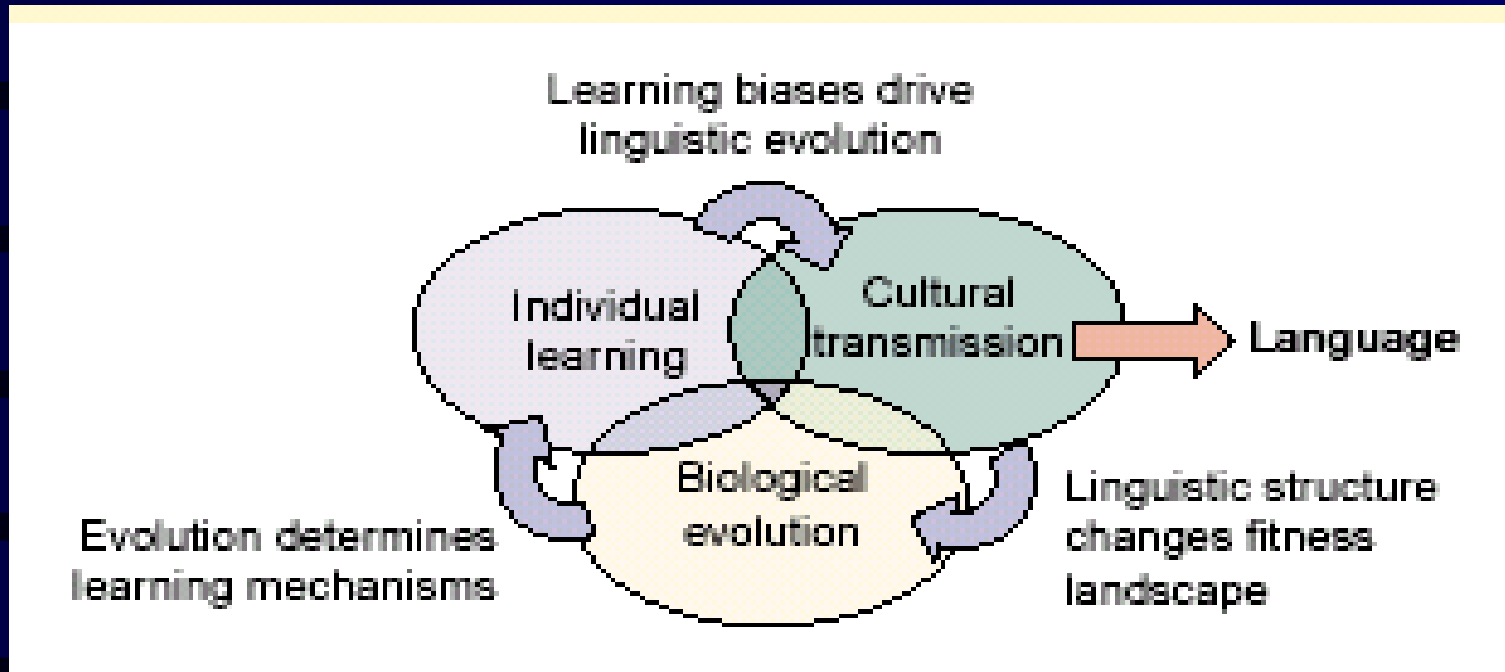
## The generative grammar of the immune system

Niels K. Jerne M.D. FRS.

More like  
artificial selection!



# What makes us human?



- Note the different time-scales involved
- Cultural transmission: language transmits itself as well as other things
- A novel inheritance system

# Confrontational scavenging

Bickerton and Szathmary *BMC Evolutionary Biology* 2011, **11**:261  
<http://www.biomedcentral.com/1471-2148/11/261>



COMMENTARY

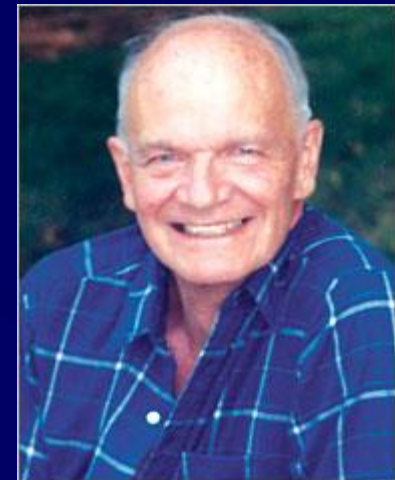
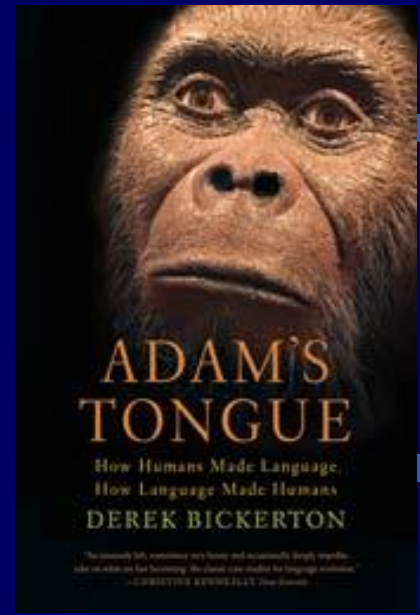
Open Access

## Confrontational scavenging as a possible source for language and cooperation

Derek Bickerton<sup>1</sup> and Eors Szathmary<sup>2,3,4\*</sup>

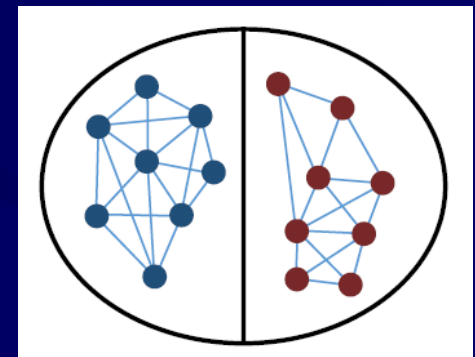
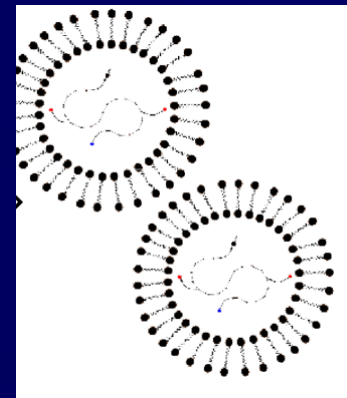
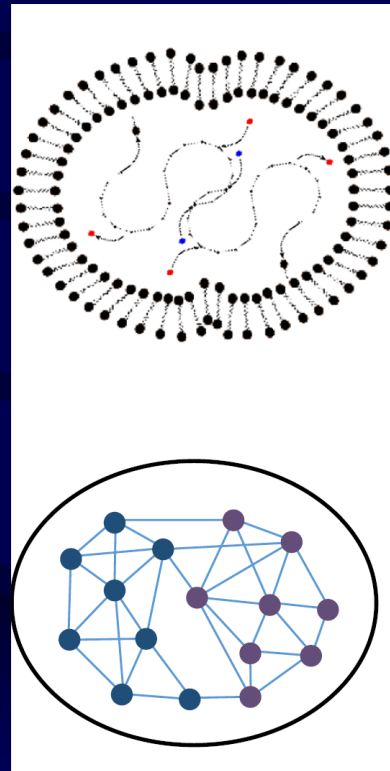
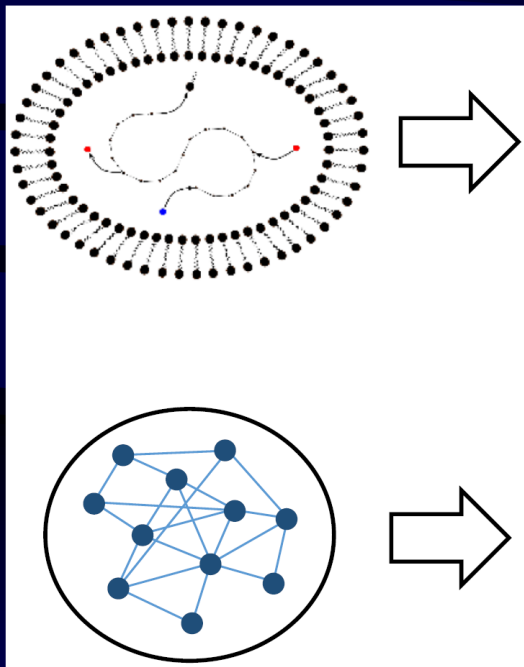
### Abstract

The emergence of language and the high degree of cooperation found among humans seems to require more than a straightforward enhancement of primate traits. Some triggering episode unique to human ancestors was likely necessary. Here it is argued that confrontational scavenging was such an episode. Arguments for and against an established confrontational scavenging niche are discussed, as well as the probable effects of such a niche on language and co-operation. Finally, several possible directions for future research are suggested.



# Toward a Macroevolutionary Theory of Human Evolution: The Social Protocell

Claes Andersson<sup>1</sup>  · Petter Törnberg<sup>1</sup>





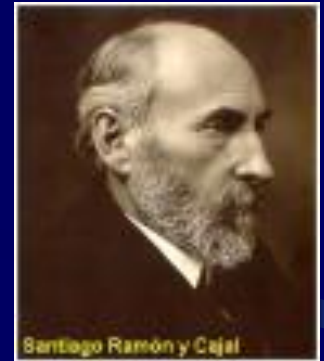
# The sociont

- A cultural entity *contained* in the community
- Different, often specific cultural items that are not interchangeable
- Cultural content affects *group fitness*
- EGALITARIAN transition
- Selection for linked “meme complexes” (cf. chromosome formation)
- Teaching and schooling

# *Recuerdos de mi vida (Cajal, 1917, pp. 345–350)*

*“At that time, the generally accepted idea that the differences between the brain of [non-human] mammals (cat, dog, monkey, etc.) and that of man are only quantitative, seemed to me unlikely and even a little offensive to human dignity. . . but do not articulate language, the capability of abstraction, the ability to create concepts, and, finally, the art of inventing ingenious instruments. . .*

*.. seem to indicate (even admitting fundamental structural correspondences with the animals) the existence of original resources, of **something qualitatively new** which justifies the psychological nobility of Homo sapiens? . . . ”*



# Candidate mechanisms of “neuronal replication”

- Local connectivity copying
- Copying of activity patterns in bistable neurons
- Path evolution
- Other?

Chrisantha  
Fernando



# Bayes and selection (e.g. Harper, 2010)

$$P(H_i | E) = \frac{P(E | H_i)P(H_i)}{P(E)}$$

$$x'_i = \frac{x_i f_i(\mathbf{x})}{\bar{f}(\mathbf{x})},$$

## Bayesian Inference

Prior Distribution  $(P(H_1), \dots, P(H_n))$

New Evidence  $P(E|H_i)$

Normalization  $P(E)$

Posterior distribution  $P(H_1|E), \dots, P(H_n|E)$

## Discrete Replicator

Population state  $x = (x_1, \dots, x_n)$

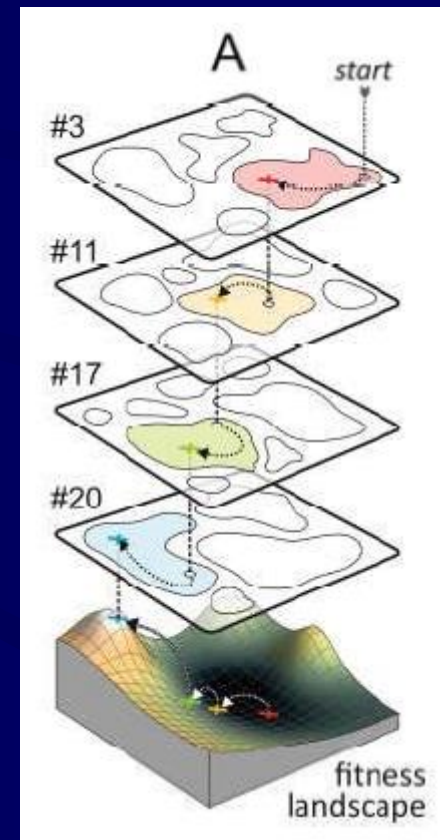
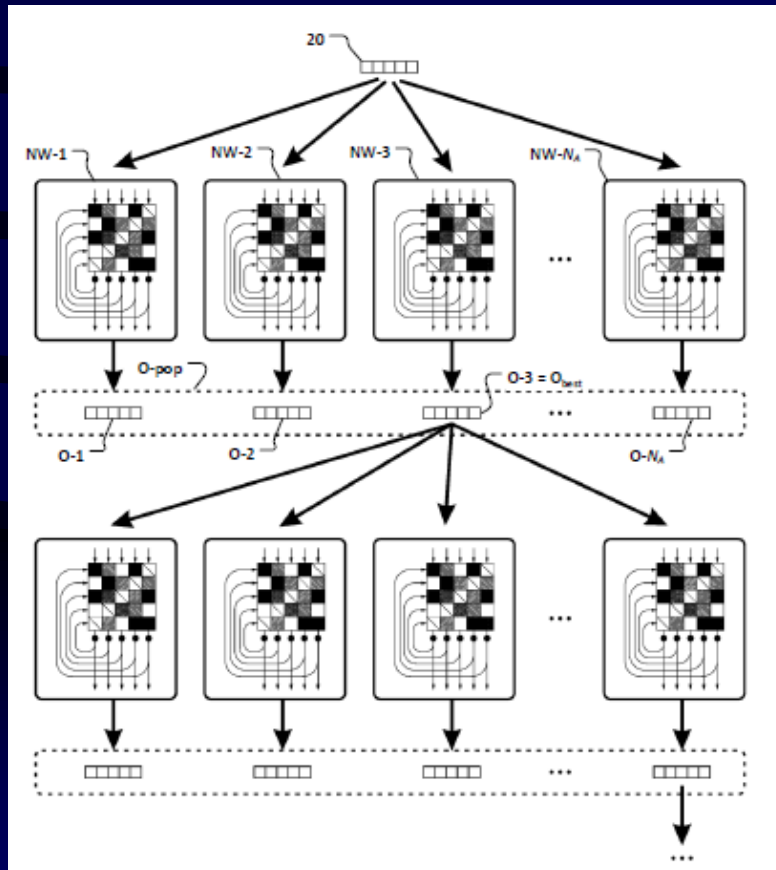
Fitness landscape  $f_i(x)$

Mean fitness  $\bar{f}(x)$

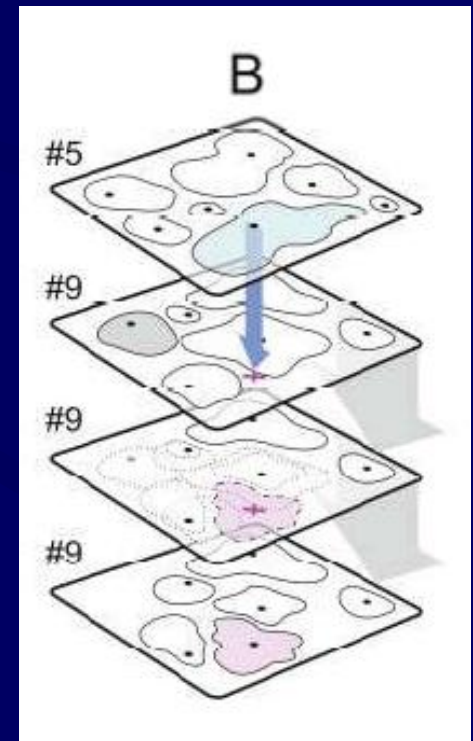
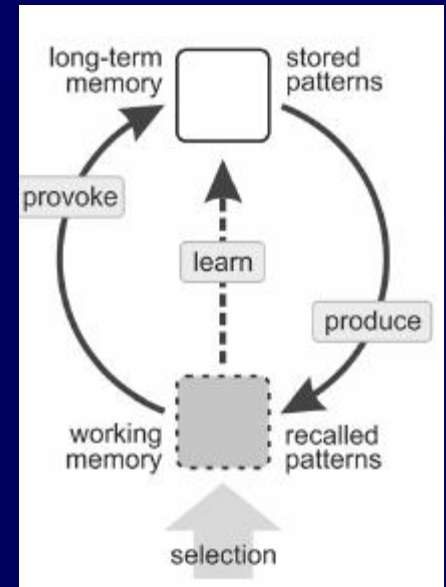
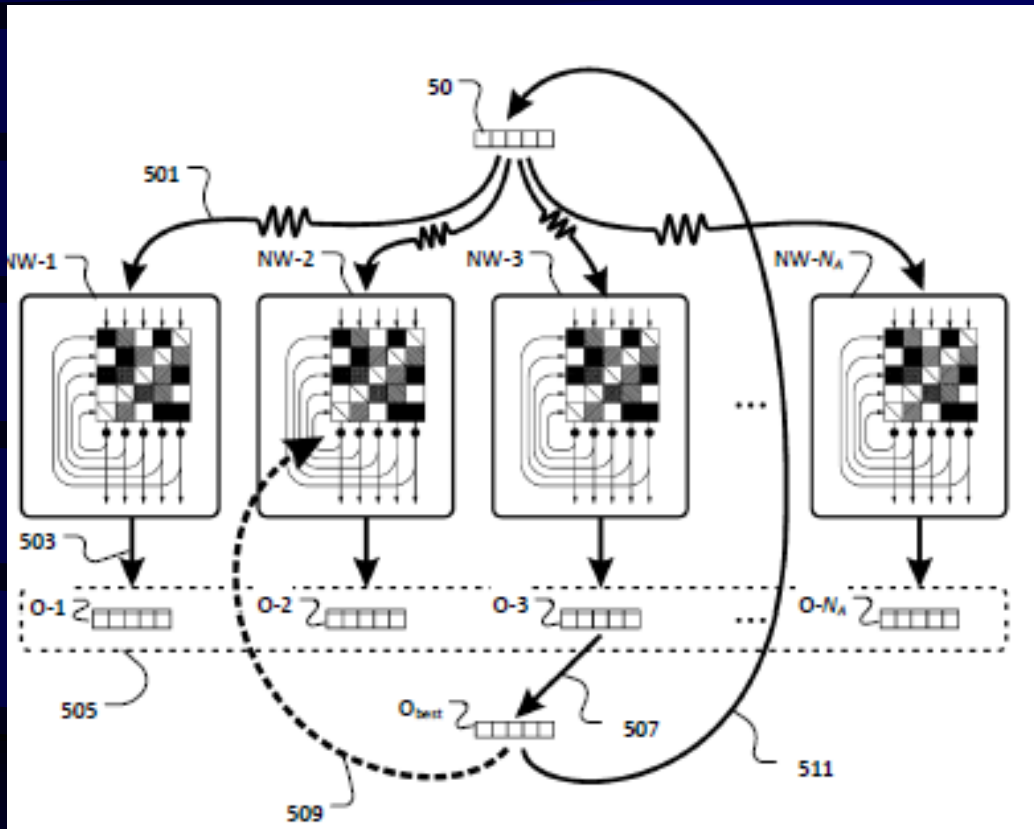
Population state  $x' = (x'_1, \dots, x'_n)$

**REVISED** Breeding novel solutions in the brain: A model of Darwinian neurodynamics [version 2; referees: 3 approved]

András Szilágyi<sup>1-3\*</sup>, István Zachar<sup>1,3,4\*</sup>, Anna Fedor<sup>1-3</sup>, Harold P. de Vladar<sup>1,3</sup>,  
Eörs Szathmáry<sup>1-5</sup>



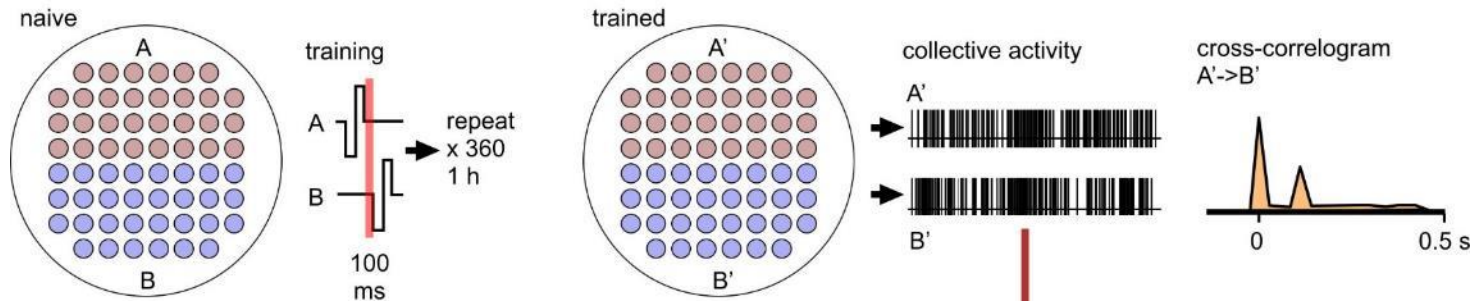
# Evolution



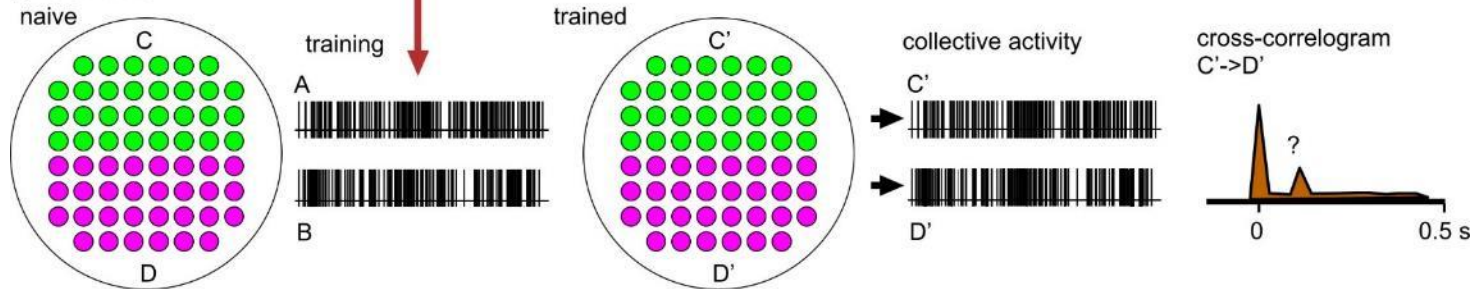
- Crucial is the COPYING of patterns from one network to the other!!!
- To be able to do so requires past genetic evolution



## a TRAINING



## b COPYING



Thanks for your attention!