



**"The Fight Between Carnival and Lent," 1559, Pieter Bruegel the Elder.**

is human society undergoing a process of individuation?



“Flemish Proverbs”, 1559, Pieter Bruegel the Elder.

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# THE EVOLUTION OF INDIVIDUALITY



LEO W. BUSS

1987

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# Transitions in Individuality

JOHN MAYNARD SMITH & EÖRS SZATHMÁRY

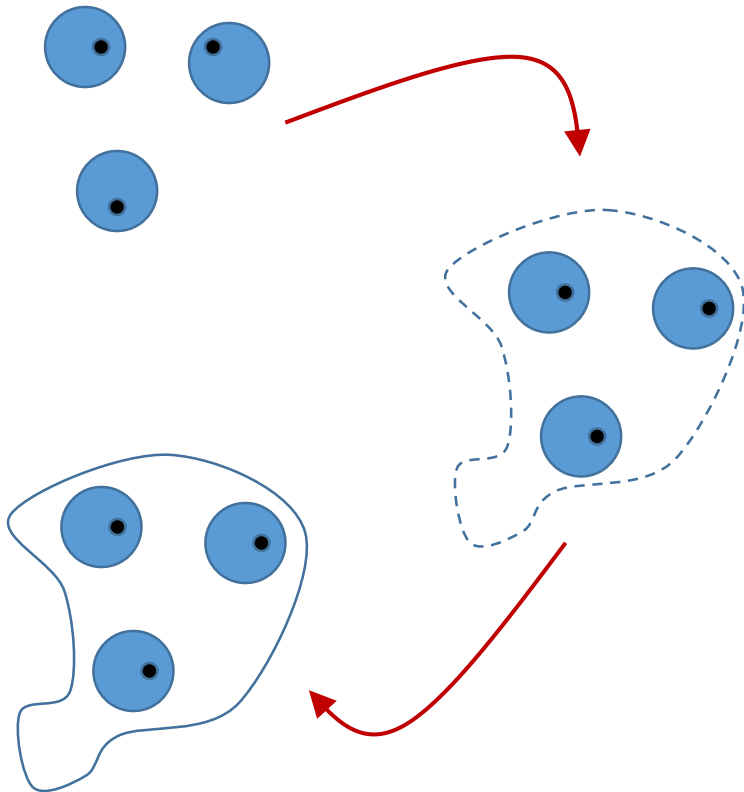
## THE MAJOR TRANSITIONS IN EVOLUTION



1. Replicating molecules -> Compartments
2. Independent replicators -> Chromosomes
3. RNA -> DNA + Protein
4. Prokaryotes -> Eukaryotes
5. Asexual clones -> Sexual populations
6. Protists -> Multicellular organisms
7. Solitary individuals -> Colonies
8. Primate societies -> Human language

# Evolutionary transitions in individuality =INDIVIDUATION

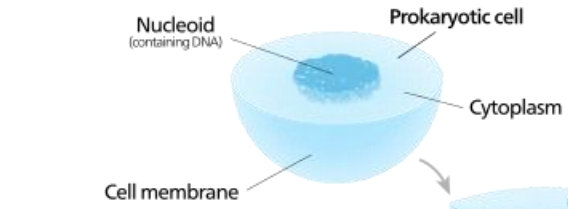
Biological units, previously existing as independent individuals, are incorporated within a higher level of organization, which becomes a new individual



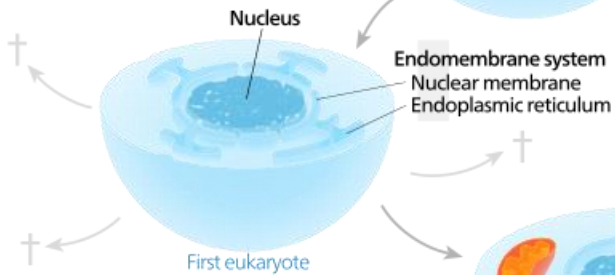
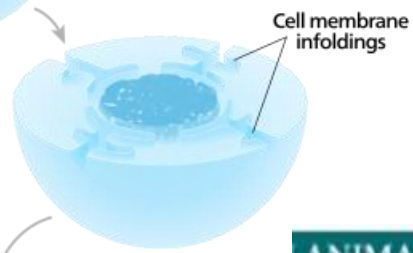
**Individual:**  
An entity capable of  
autonomous survival  
and reproduction

# The GREATEST of all transitions

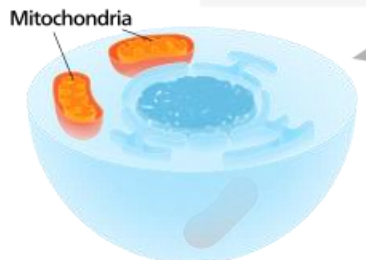
**Eukaryotic cells are comprised of organelles whose ancestors were individual prokaryotic cells (bacteria etc.).**



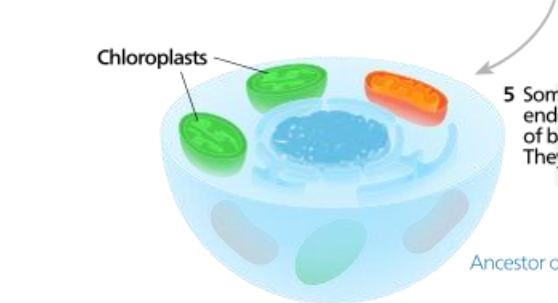
2 The infoldings eventually pinch off from the cell membrane, forming an early endomembrane system. It encloses the nucleoid, making a membrane-bound nucleus. This is the first eukaryote.



4 The aerobe's ability to use oxygen to make energy becomes an asset for the host, allowing it to thrive in an increasingly oxygen-rich environment as the other eukaryotes go extinct. The proteobacterium is eventually assimilated and becomes a mitochondrion.

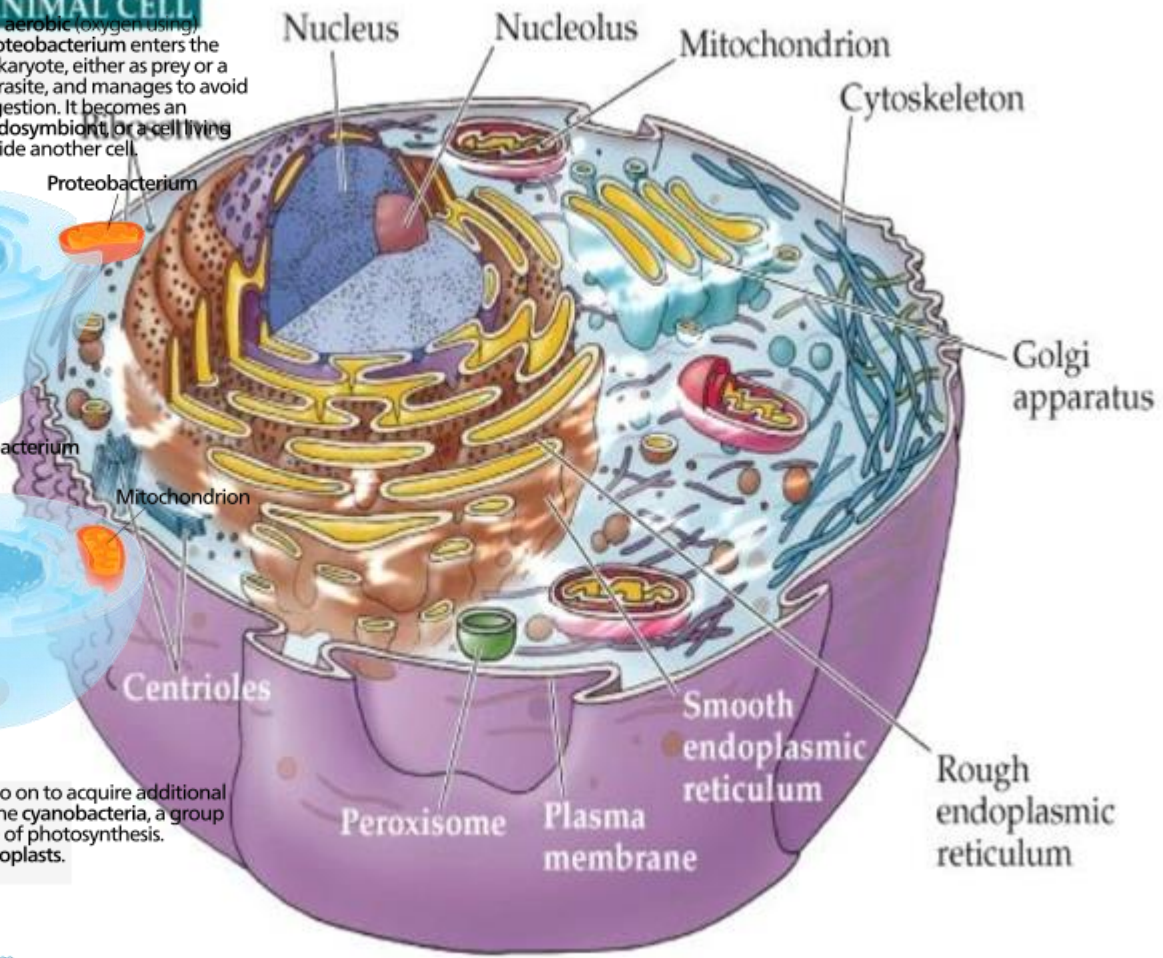


Ancestor of animals, fungi, and other heterotrophs



Ancestor of plants and algae

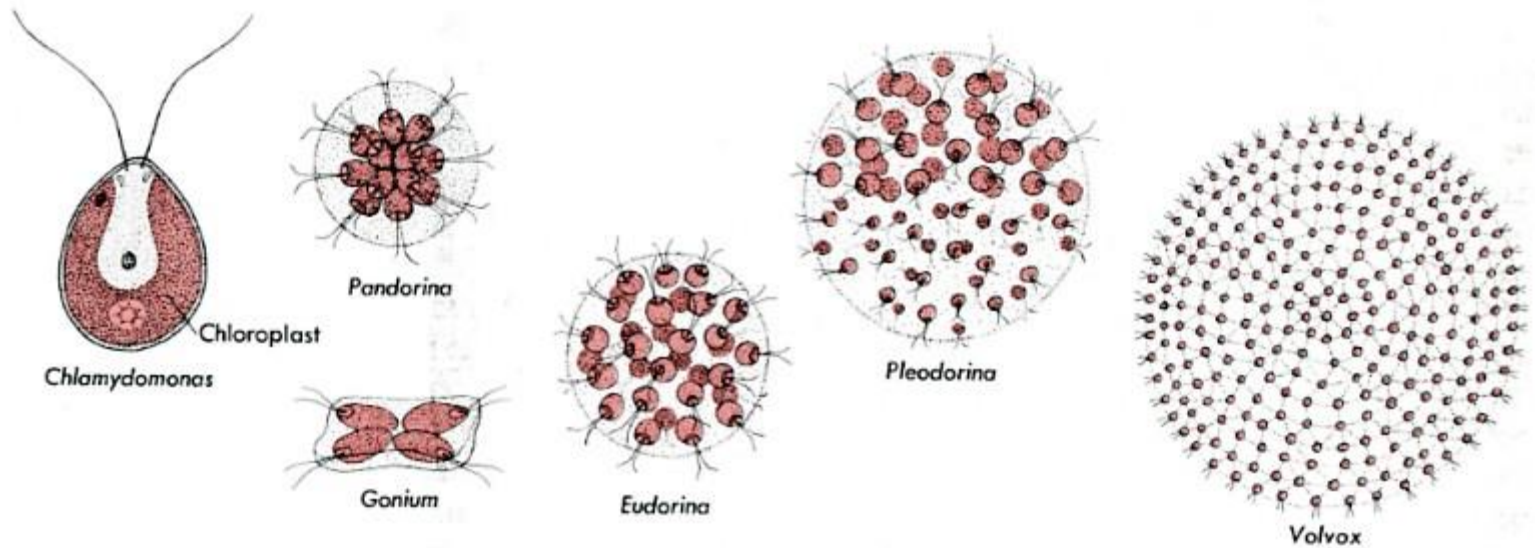
**ANIMAL CELL**  
 3 An aerobic (oxygen-using) proteobacterium enters the eukaryote, either as prey or a parasite, and manages to avoid digestion. It becomes an endosymbiont or a cell living inside another cell.



5 Some eukaryotes go on to acquire additional endosymbionts—the cyanobacteria, a group of bacteria capable of photosynthesis. They become chloroplasts.

# The origins of multicellular organisms

multicellular organisms are comprised of cells whose ancestors were individual unicellular organisms



## Marine invertebrate colonies

The whole colony,  
composed of multicellular  
organisms, is  
(in some ways)  
a single individual






## Insect colonies

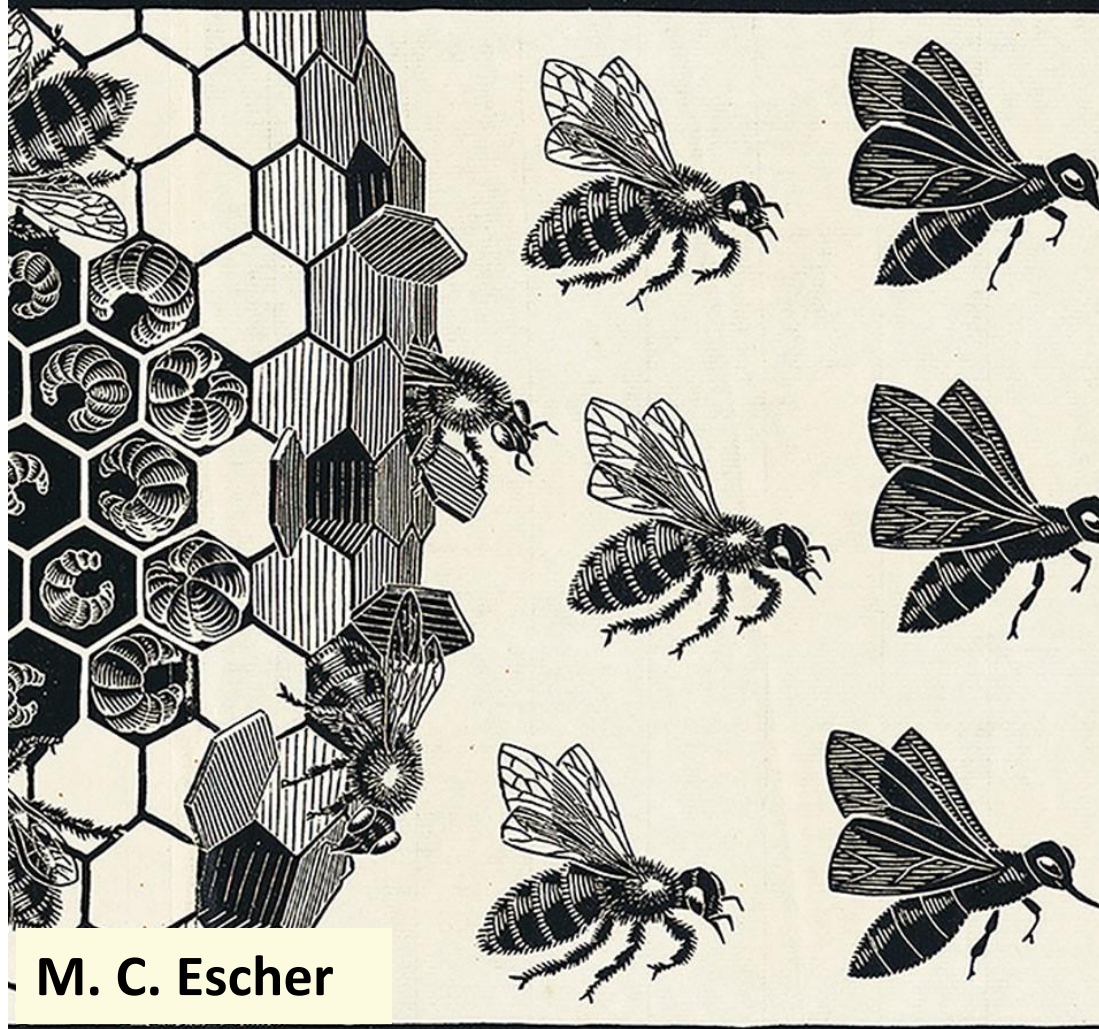
**The whole colony is  
(in some ways)  
a single individual**

**Workers can be viewed as  
mobile equivalents of somatic  
cells in an organism.**

- 
- A single termite could not survive on its own, not even the queen.
  - Only the colony as a whole is capable of survival and reproduction.
  - The vast majority of the colony members do not reproduce.

**PART A:**  
**Operationalizing major transitions**

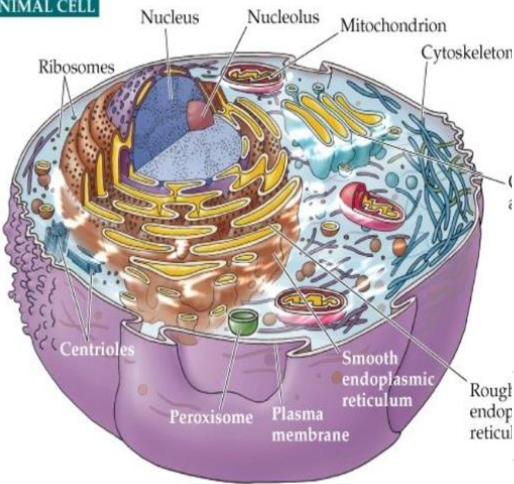
Yohay Carmel and Ayelet Shavit



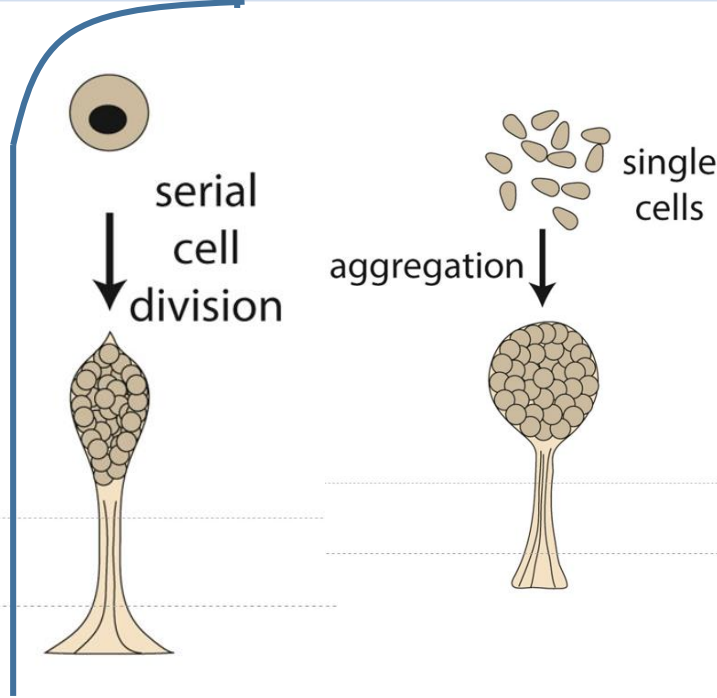
# Comparing between transitions in individuality

	Eukarioticity	Multicellularity	Coloniality
Events	single	numerous	numerous
Partners	different	identical	similar
Motility of units	-	-	+ (in some cases)

ANIMAL CELL



© 2001 Sinauer As



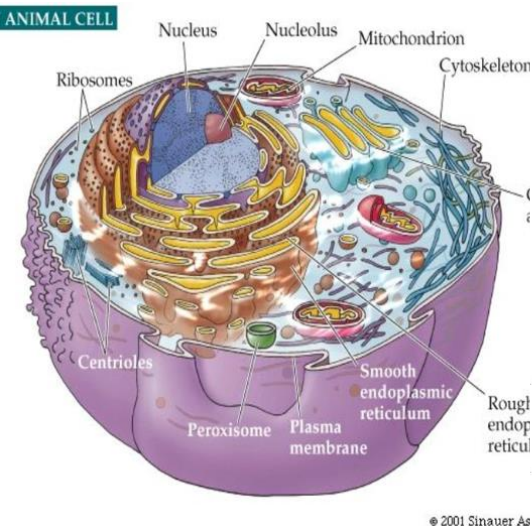
# Comparing between transitions

Each transition was unique and  
Substantially different from other transitions

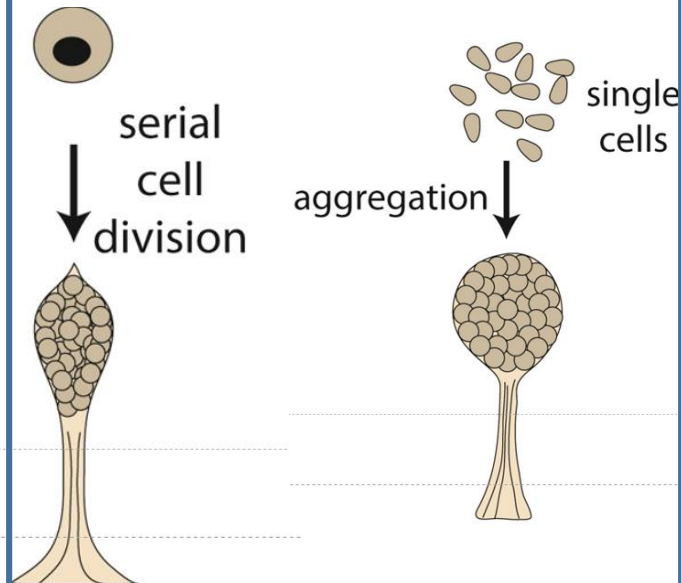
**In view of these deep differences, what is the merit of  
comparing between major transitions ?**

**similarities may indicate essential elements in the process.**

## Eukarioticity



## Multicellularity



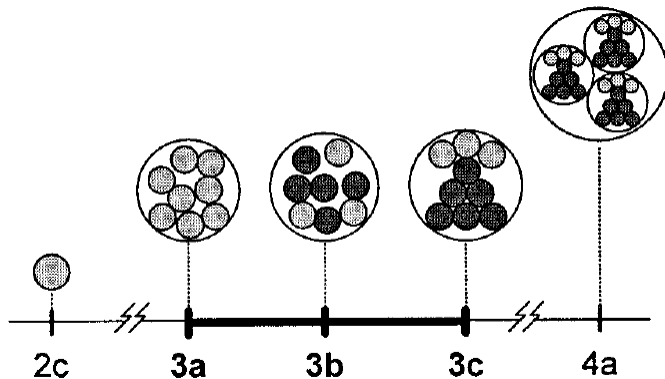
## Coloniality



**The goal:** a quantitative description  
of transitions in individuality

**The means:**  
operationalization\* of this concept

\*Operationalization: characterizing a fuzzy  
concept using a **set of measurable parameters**

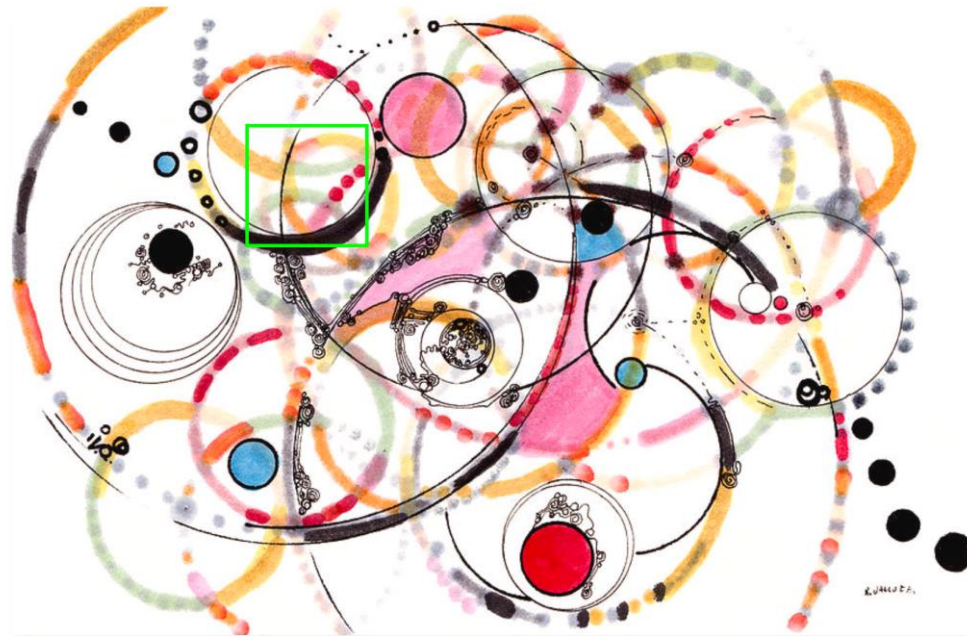


McShea 2001, Paleobiology

# complexity-based operationalization

ETIs are characterized by increasing complexity

**How to quantify complexity in living systems?**



Buss 1987, *Evolution of individuality*.

Maynard Smith & Szathmary 1995 *The major transitions in evolution*.

# Principles of Social Evolution

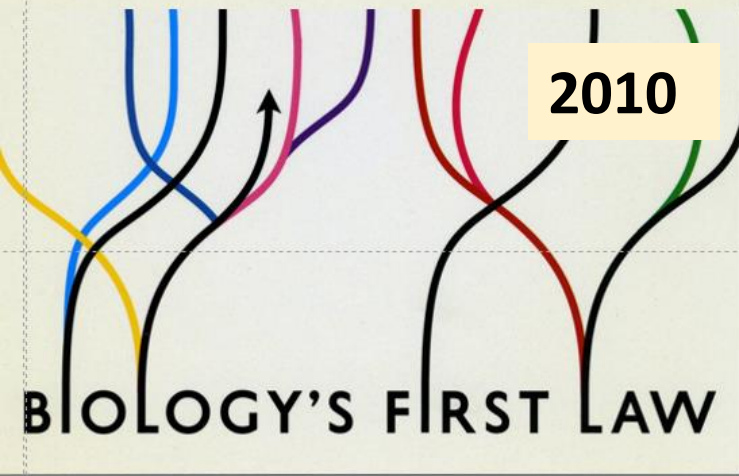
Andrew F.G. Bourke



2011

Operationalization Scheme for  
living systems  
-- inspired by three books

The Tendency for Diversity & Complexity  
to Increase in Evolutionary Systems



2010

BIOLOGY'S FIRST LAW

DANIEL W. McSHEA & ROBERT N. BRANDON

# EVOLUTION TO COMPLEXITY

*From unanimated matter to the  
universal superorganism*

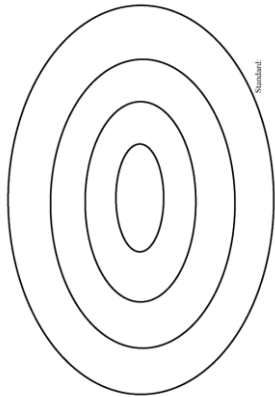
Edwin F. Herrera-Paz, MD

2014



# Operationalization Scheme for living systems

<b>system size</b> (number of units in lower level)	<b>connectivity</b> between units	<b>differentiation / heterogeneity</b> between units	<b>system depth</b> (number of levels)
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Combining suggestions of McShea 2001, McShea & Brandon 2010, Herrera-Paz 2014, and Hanschen... and Michod 2017.

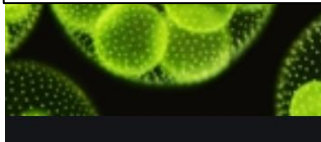


# Operationalization Scheme for ETIs

<b>System size</b> (number of units in lower level)	<b>Connectivity</b> between units	<b>Differentiation</b> between units	<b>System depth</b> (number of levels)
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<b>System size</b>	<b>Inseparability</b>	<b>Reproductive specialization</b>	<b>Non-reproductive Specialization</b>
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The number  
of lower-level  
units

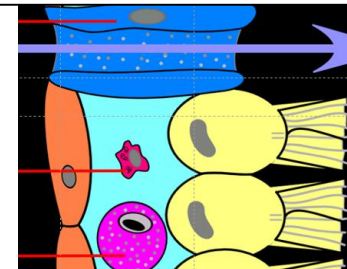


the incapacity  
of lower-level  
units to survive  
and complete  
life cycles  
separate from  
the higher level

certain units of  
the system  
reproduce,  
others are  
incapable of  
reproduction



there are at  
least two types  
of somatic  
units



# The transition to multicellularity -- Volvocines

General	Specific case-studies	System size (size of the individual)	Inseparability	Reproductive specialization	Non-reproductive Specialization
Algae	Tetrabaena	4	-	-	-
	Gonium sp.	16	+	-	-
	Pandorina	8-16	+	-	-
	Eudorina	32-64	+	-	-
	Pleodorina	128	+	+	-
	Volvox	$10^4$	+	+	-

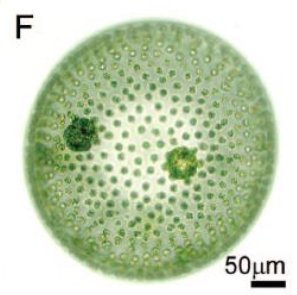
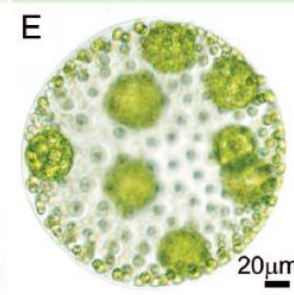
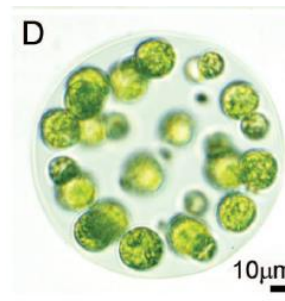
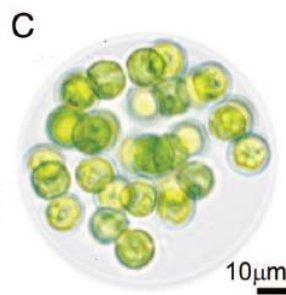
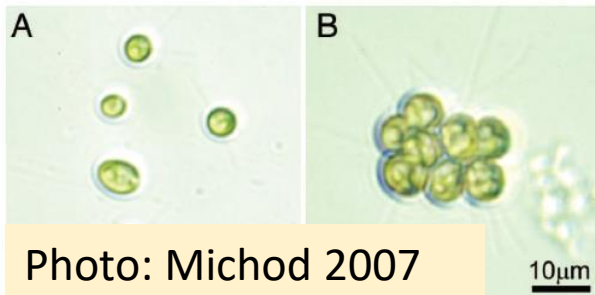
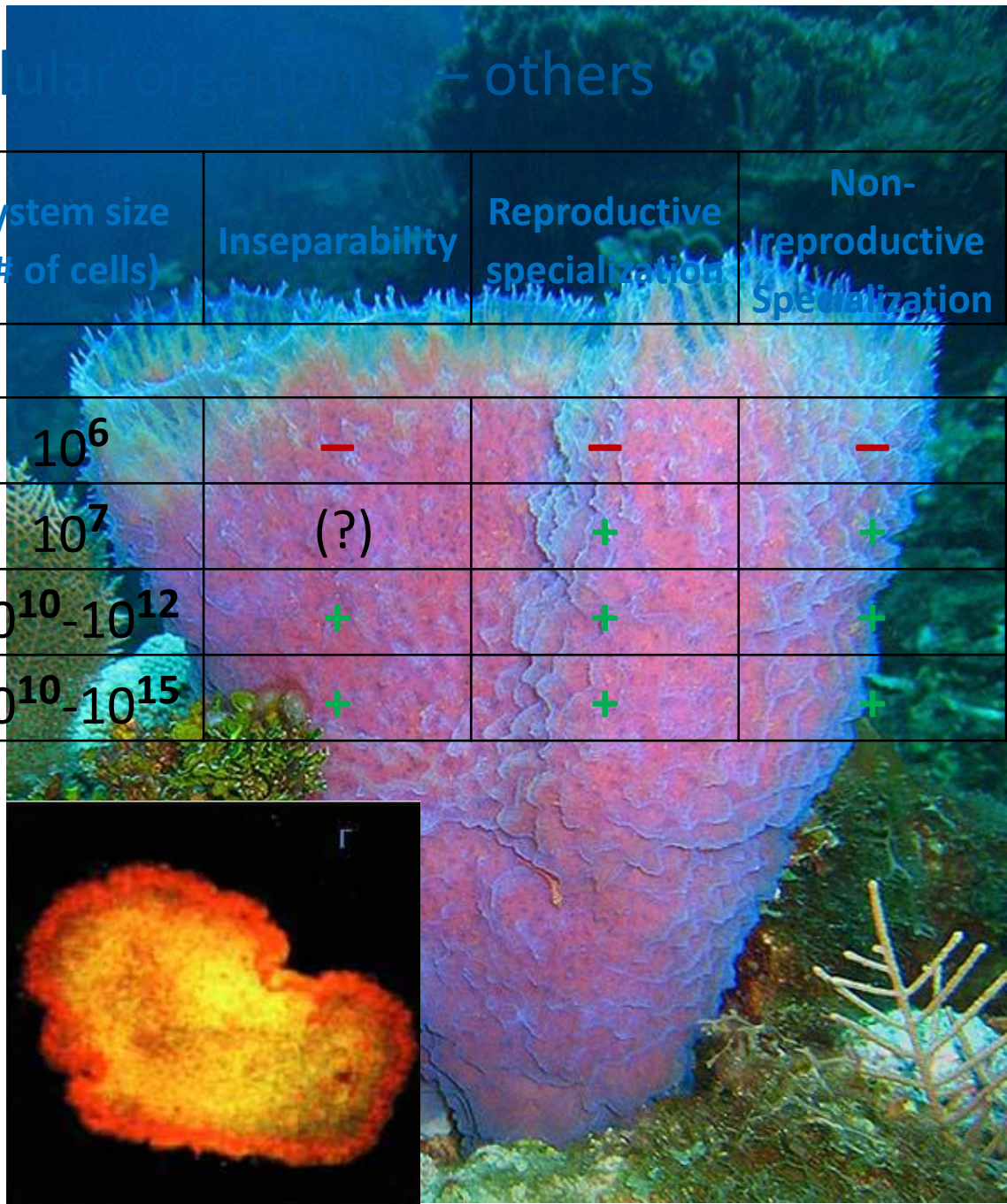
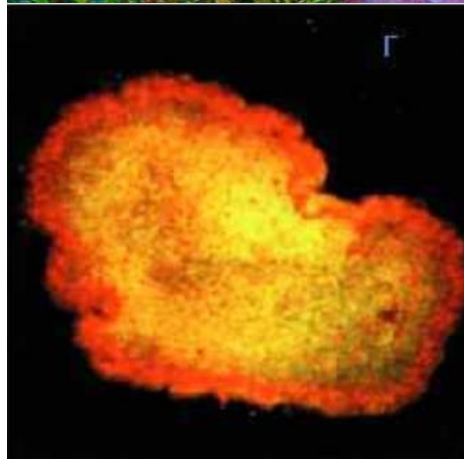


Photo: Michod 2007

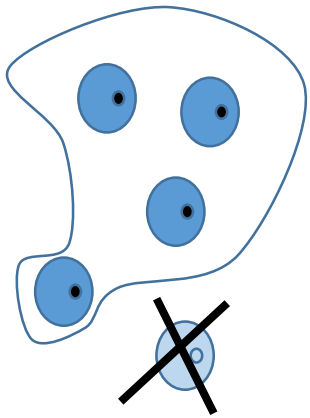
# Multi-cellular organisms – others

General	Specific case-studies	System size (# of cells)	Inseparability	Reproductive specialization	Non-reproductive Specialization
Other Organisms	Slime molds	$10^6$	-	-	-
	Trichoplax	$10^7$	(?)	+	+
	sponges	$10^{10}$ - $10^{12}$	+	+	+
	mammals	$10^{10}$ - $10^{15}$	+	+	+



# colonial organisms

General	Specific case-studies	System size (colony size)	Inseparability (queen replacement impossible)	Reproductive specialization (sterile workers)	Non-reproductive Specialization (worker polymorphism)
Eusocial colonies	Allodapine bees	$10^1$	-	-	-
	Halictine bees	$10^2$	-	-	-
	Naked mole rats	$10^2$	-	-	-
	Bumble bees	$10^2$	+	+/-	-
	Vespinae wasps	$10^3$	+	+	-
	Honey bees	$10^4$ - $10^5$	+	+	-
	Termites	$10^6$	+	+	+
	Ants	$10^6$ - $10^9$	+	+	+



## Part a: conclusions

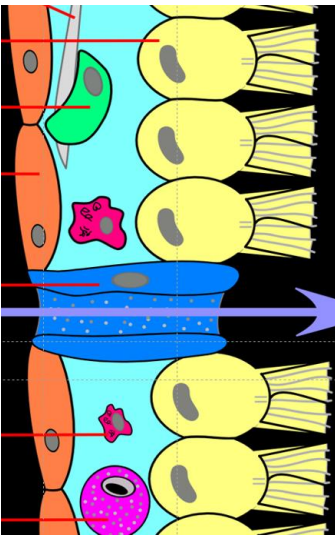
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### **inseparability:**

- Appears early in the process, either before- or together with reproductive specialization.
- Marks the turning point: a group becomes a new individual of a higher hierarchical level
- It ties together the fitness of all inseparable lower-level units, and assigns it to the fitness of the newly emerged higher-level individual
- Thus, it dictates conflict resolution
- It may be major driving force through the transition.

## size

- Size is a major predictor of complexity during ETIs.



## non-reproductive specialization:

- Appears late in the process, only in systems  $> 10^6$



**PART B:**  
**Application to**  
**human society**



**“Flemish Proverbs”, zooming in. 1559, Pieter Bruegel the Elder.**

# An immense potential for cooperation

Mass singing

0:30—3:00

Playing and Marching

01:30—3:00

Dancing prisoners



# humans as super-cooperators

human psychology is characterized by numerous behaviours that **make colonial life structures possible\***, such as:

Identification with a group



Integration of individuals via:

Unity of action



Communication



Mechanisms to resolve individual-society conflicts in favor of the higher level

\*Kesebir, 2012, How and When Human Groups Are Like Beehive. *Personal. Soc. Psychol. Rev.*

## On the other hand...



Human societies are also characterized by **selfishness, conflict, and competition**. They differ from superorganisms in **fundamental ways**.

‘Are We Stalled Part Way Through A Major Evolutionary Transition From Individual To Group?’  
Stearns, *Evolution* 2007.

**Well... no. Not stalled at all.**  
**Not even for one minute.**

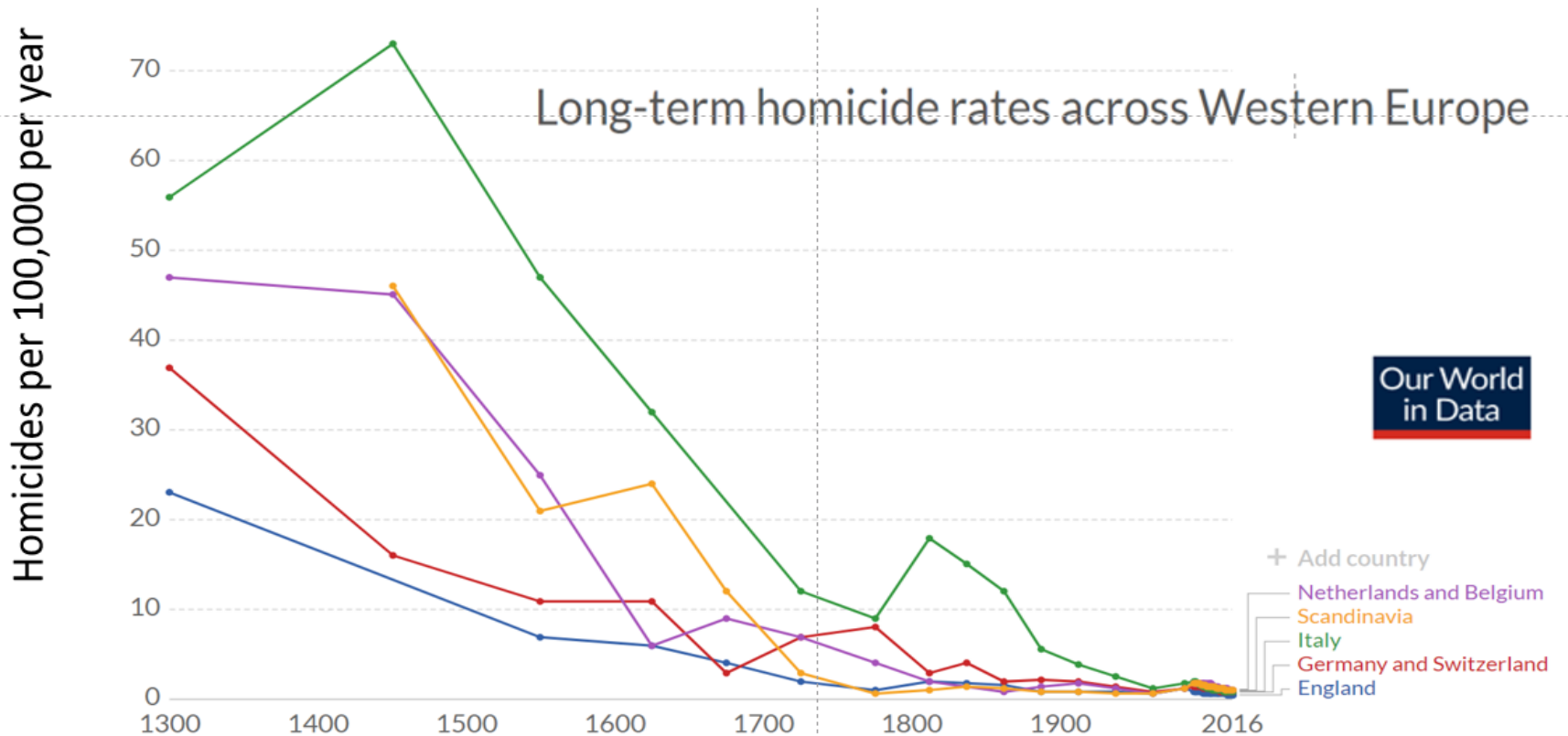
# Cultural- and Socio-technological evolution

An evolutionary transition may take millions of years to complete

cultural- and socio-technological evolution operates much faster

**BUT...**

People may change radically -- as society changes



# Society as a primeval organism

Some subsystems are clear analogies of organismic subsystems



**Public transportation**  
(circulation systems)



**Electronic communication**  
(nervous system)

**Legal system, policing, defense forces**  
(immune system)



# History of human systems

During the last 10,000 years, human society becomes ever more complex:



Network of ties is denser

Society is larger

Division of labor and specialization increase

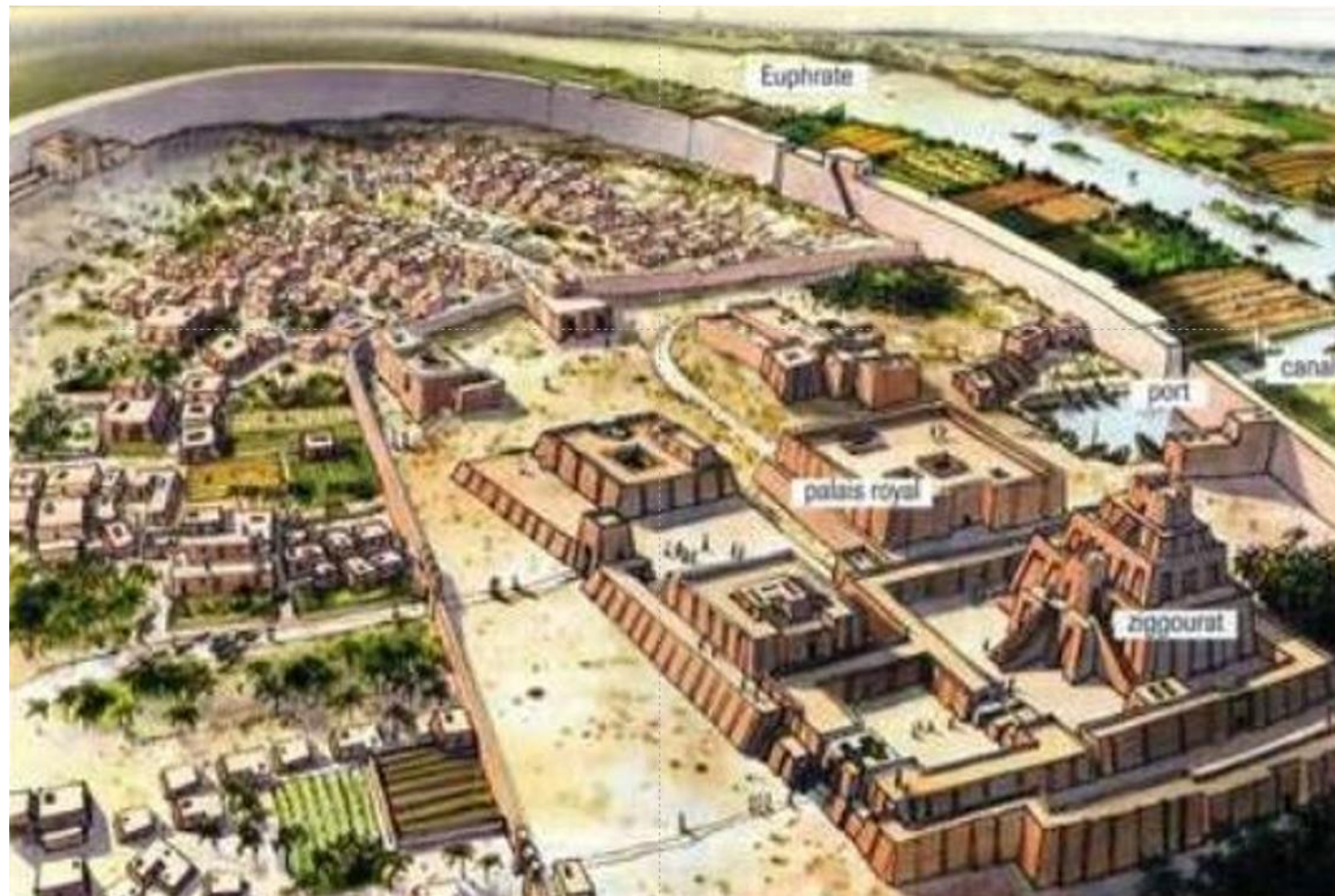
Inseparability\* increases

\*Inseparability is the incapability of an individual or of a small subgroup to survive on their own as an independent entity, disconnected from the rest of the society.

# Hunter-gatherer societies, $10^2 - 10^3$



# First Mesopotamian city-states, ~3000 BC, $10^4$



Sumer, ~2500 BC,  $10^5$





# Akkadian Empire, ~2300 BC, 10<sup>6</sup>



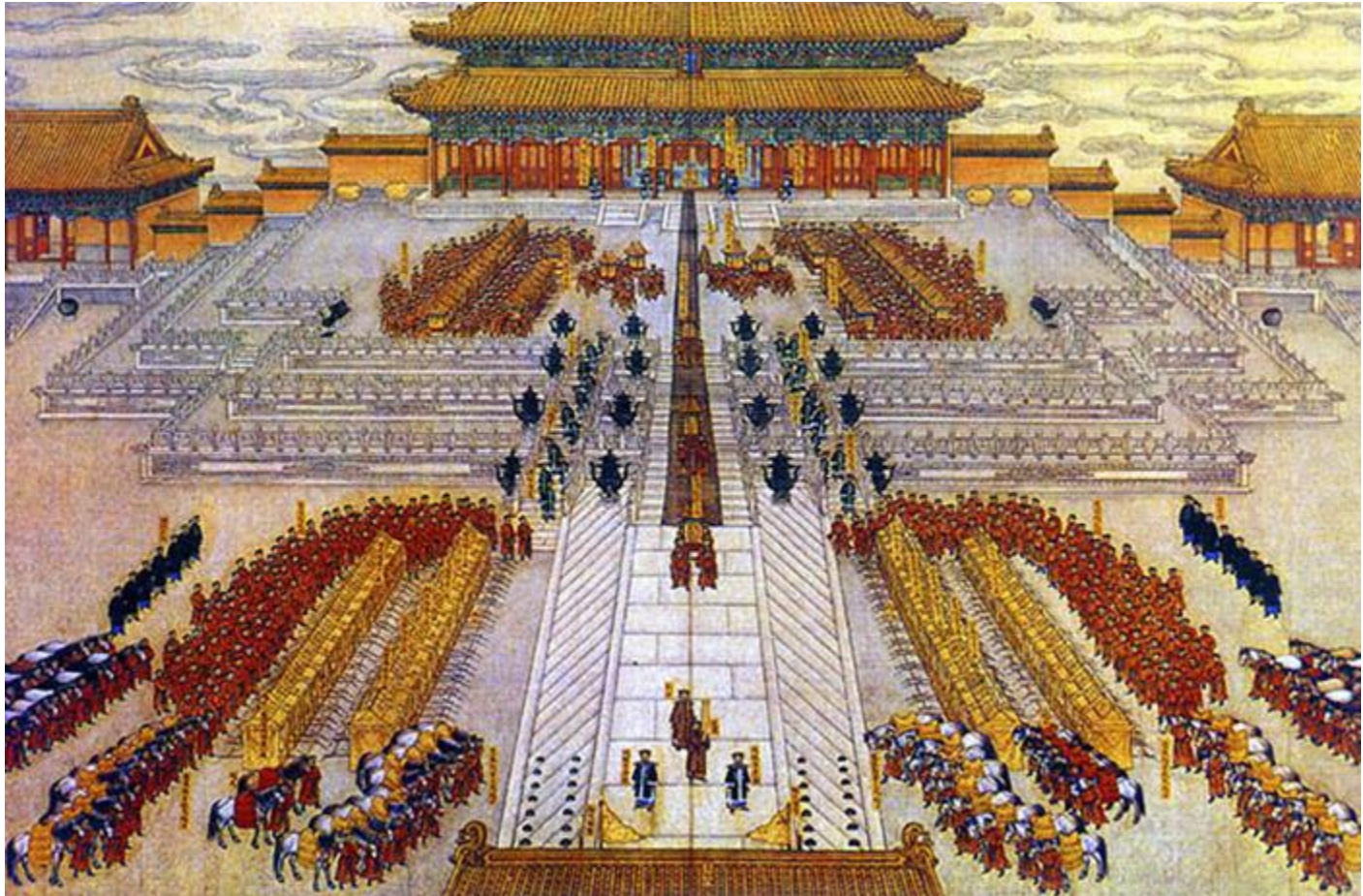
# The Persian Empire, ~500 BC, $10^7$ , est. 17-35 million



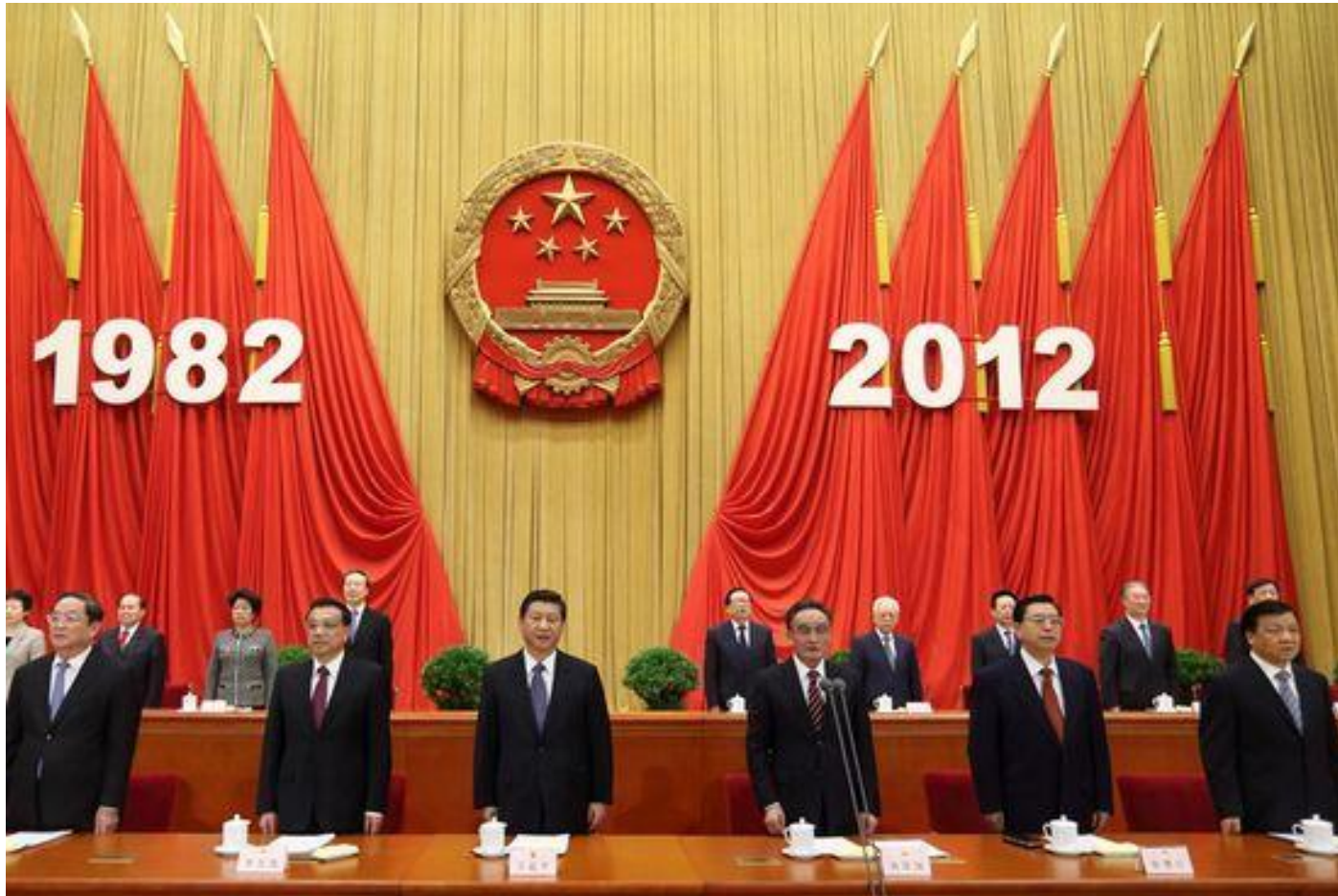
**Not much changed during the next 2000 years,  
Rome and China fluctuated below 100 millions**



China, ~1500 AD,  $10^8$



China, 1982,  $10^9$

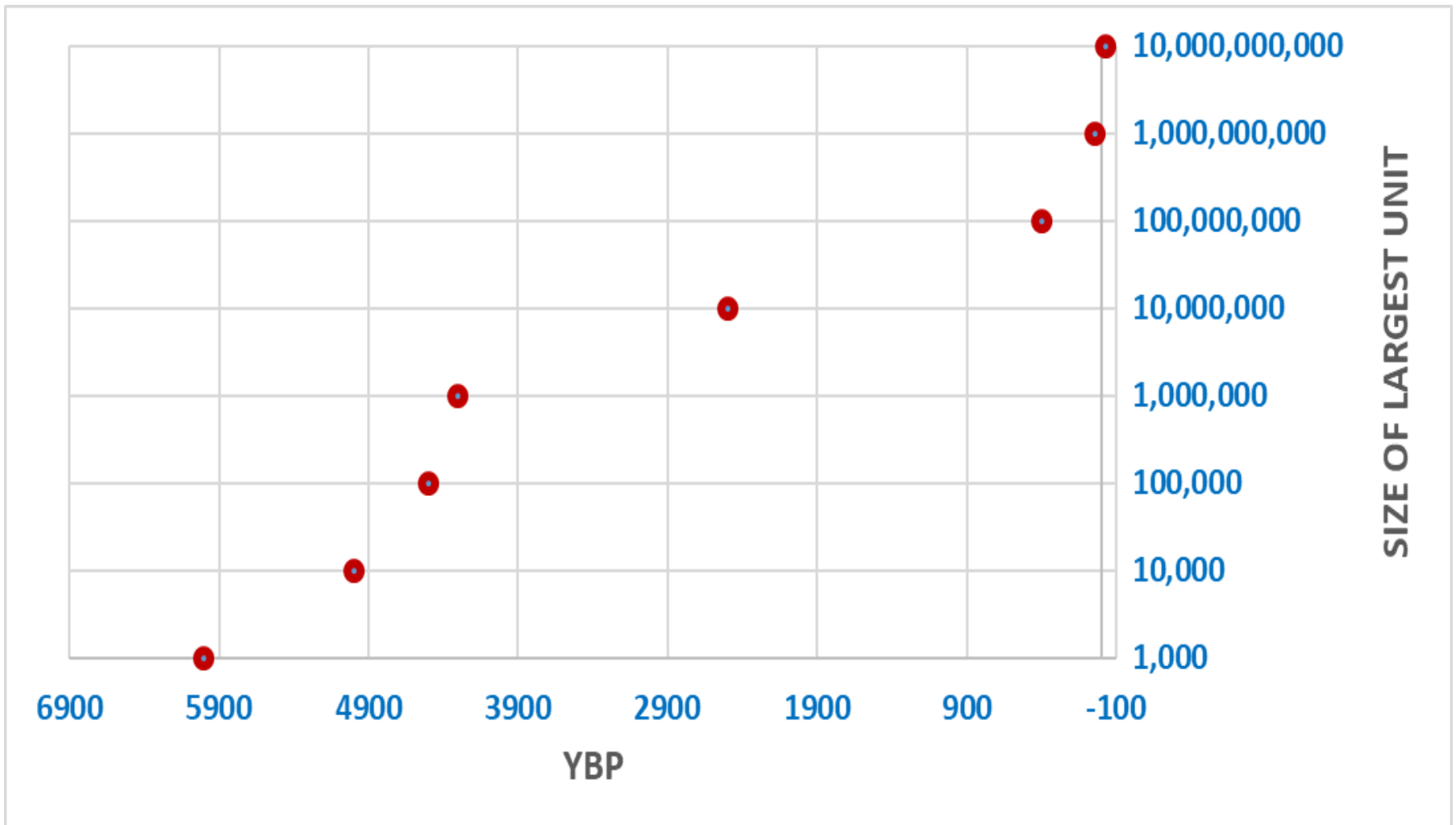


# The global village, 2050, $10^{10}$



**GOAL:** to evaluate human societies across time  
in the context of major transitions

Let us look at the largest socio-economic-administrative unit  
at any given time



**GOAL:** to evaluate human societies across time  
in the context of individuation

system complexity  
during the history of human societies

<b>System size</b>	<b>Inseparability</b>	<b>Reproductive specialization</b>	<b>Non- reproductive Specialization</b>
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# Change in human societies

General	Specific case-studies	System size (largest social unit)	Insep-arability	Reproductive specialization	Non-reproductive Specialization
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Human societies	10000 BC	$10^2-10^3$	—	—	✓
	3000 BC	$10^4$	—	—	✓
	2500 BC	$10^5$	—	—	✓
	2300 BC	$10^6$	—	—	✓
	500 BC	$10^7$	—	—	✓
	1500 AD	$10^8$	—	—	✓
	1982 AD	$10^9$	✓	—	✓
	2050 AD	$10^{10}$	✓	—/?	✓

## PART B: CONCLUSIONS

With time, human society is becoming more complex and more individuated.

By now, society has **V** for three of four indicators of individuation:  
size, inseparability, and nonreproductive specialization.

We are individuals, living in an age of individuality.

The notion that humans may give up individuality and become incorporated within a higher level entity, seems inconceivable.

Our individual freedom may be growing in recent centuries.

We move freely all over the globe; we **feel** as autonomous as we could possibly be.

Yet, ask any cell:

‘are you autonomous?’

– ‘you bet I am!’.

# PREDICTIONS

We could argue about this process endlessly.

Alternatively, we can make predictions and see how well they fit reality.

Here is a single example of a testable prediction:

**Human—society conflicts of interest would increasingly be resolved in favor of the collective.**